

EN
ANNEX I



PART 1
Transposition of Directive (EU) 2019/2034

	Transposition of provisions of Directive (EU) 2019/2034	Provisions of Directive (EU) 2019/2034	Links to national text⁽¹⁾	Reference(s) to national provisions⁽²⁾	Available in EN (Y/N)
010	Date of the last update of information in these templates			(10/05/2023)	
020	I. Subject matter, scope and definitions	Articles 1 to 3	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Part 1, Regulation 1 - 3	Y
030	II. Competent authorities	Articles 4 to 8	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Part 2, Regulation 4 - 7	Y
040	III Initial capital	Articles 9 to 11	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Part 3, Regulation 8 - 9	Y
050	IV. Prudential supervision		https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Part 4	Y
060	CHAPTER 1 - Principles of prudential supervision		https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Part 4, Chapter 1	Y
070	Section 1 - Competences and duties of home and host Member States	Articles 12 to 14	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Regulation 10 - 12	Y
080	Section 2 - Professional secrecy and duty to report	Articles 15 to 17	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Regulation 13 - 15	Y
090	Section 3 - Sanctions, investigatory powers and right of appeal	Articles 18 to 23	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Regulation 16 - 20	Y
100	CHAPTER 2 - Review process		https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Part 4, Chapter 2	Y
110	Section 1 - Internal capital adequacy assessment process and internal risk	Article 24	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Regulation 21	Y
120	Section 2 - Internal governance, transparency, treatment of risks and remuneration	Articles 25 to 35	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Regulation 22 - 31	Y
130	Section 3 - Supervisory review and evaluation process	Articles 36 to 37	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Regulation 32 - 33	Y
140	Section 4 - Supervisory measures and powers	Articles 38 to 45	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Regulation 34 - 41	Y
150	CHAPTER 3 - Supervision of investment firm groups		https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Part 4, Chapter 3	Y
160	Section 1 - Supervision of investment firm groups on a consolidated basis and supervision of compliance with the group capital test	Articles 46 to 50	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Regulation 42 - 46	Y
170	Section 2 - Investment holding companies, mixed financial holding companies and mixed-activity holding companies	Articles 51 to 56	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Regulation 47 - 50	Y
180	TITLE V - PUBLICATION BY COMPETENT AUTHORITIES	Article 57	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Part 5, Regulation 51	Y
190	TITLE VI - DELEGATED ACTS	Article 58	N/A	N/A	N/A
200	TITLE VII - AMENDMENTS TO OTHER DIRECTIVES	Articles 59 to 64	https://www.irishstatutebook.ie	S.I. No. 355 of 2021, Part 6, Regulation 52 - 64	Y
210	TITLE VIII - FINAL PROVISIONS	Articles 65 to 69	N/A	N/A	N/A

(1) Hyperlink(s) to the website containing the national text transposing the provision of Union law in question.

(2) Detailed references to the provision of national law, such as relevant Title, Chapter, paragraph etc.