

| Pre-Approval Controlled functions (PCFs) | |
|---|---|
| New | General |
| PCF1 | Executive director |
| PCF2 | Non-executive director |
| PCF3 | Chairman of the board |
| PCF4 | Chairman of the audit committee |
| PCF5 | Chairman of the risk committee |
| PCF6 | Chairman of the remuneration committee |
| PCF7 | Chairman of the nomination committee |
| PCF8 | Chief executive |
| PCF9 | Member of partnership |
| PCF10 | Sole Trader |
| PCF11 | Head of Finance |
| PCF12 | Head of Compliance |
| PCF13 | Head of Internal Audit |
| PCF14 | Head of Risk |
| PCF15 | Head of Compliance with responsibility for Anti-Money Laundering and Counter Terrorist Financing Legislation |
| PCF16 | Branch Manager of branches in other EEA countries |
| PCF17 | Head of Retail Sales |
| | Insurance |
| PCF18 | Head of Underwriting |
| PCF19 | Head of Investment |
| PCF20 | Chief Actuary |
| | Banking |
| PCF21 | Head of Treasury |
| PCF22 | Head of Credit |
| PCF23 | Head of Asset and Liability Management |
| | Stock Exchange |
| PCF24 | Head of Traded Markets |
| PCF25 | Head of International Primary Markets |
| PCF26 | Head of Markets Supervision |
| PCF27 | Head of Operations |
| | Investment firms |
| PCF28 | Branch Managers in Ireland |
| PCF29 | Head of Trading |
| PCF30 | Chief Investment Officer |
| PCF31 | Head of Investment |
| | Investment Intermediaries / Collective Investment Schemes |
| PCF32 | Branch Managers in Ireland |
| PCF33 | Head of Transfer Agency |
| PCF34 | Head of Accounting (Valuations) |
| PCF35 | Head of Trustee Services |
| PCF36 | Head of Custody Services |
| | UCITS Self-Managed Investment Company / Management Company |
| PCF37 | Head of Transfer Agency |
| PCF38 | Head of Accounting Valuations |
| PCF39 | Designated Person to whom a director of a UCITS Self Managed Investment Company or Non UCITS Self Managed Investment Company or Management Company may delegate the performance of the management functions |
| | Payment Institutions |
| PCF40 | Branch Managers within the State |
| | Financial Service Providers established outside Ireland |
| PCF41 | Manager of a branch in Ireland of a regulated financial service provider established in a country that is not an EEA country |