

**Legislative provisions which set out the basis for the requirement for Retail Intermediary firms to submit an Annual Return to the Central Bank of Ireland and associated provisions**

<p><b><u>Type of Firm</u></b></p>	<p>All Authorised Retail Intermediaries</p>
<p><b><u>Requirement to submit an Annual Return</u></b></p>	<p><b>Notice of Requirement to submit information pursuant to Section 22 of the Central Bank (Supervision and Enforcement) Act, 2013 ('the Act')</b></p> <p>Notice of Requirement to submit information pursuant to Section 22 of the Act  22.— (1) Where it is necessary to do so for the purpose of the performance of the Bank's functions under financial services legislation relating to the proper and effective regulation of financial service providers, the Bank may, by notice in writing given to a person to whom this Part applies, require the person—</p> <p style="padding-left: 40px;">(a) to provide to the Bank the information specified in the notice,  (b) to provide to the Bank the records so specified, or  (c) to prepare and provide to the Bank the forecasts, plans, accounts or other documents so specified.</p> <p>(2) A person on whom a requirement is imposed under subsection (1) shall comply with the requirement—</p> <p style="padding-left: 40px;">(a) at such time or times, or within such period, as may be specified in the notice or in a further notice given by the Bank, and  (b) at such place as may be so specified.</p> <p>(3) The Bank may require that information, records or other documents provided in compliance with a requirement under subsection (1) be certified or attested as to their authenticity or correctness in such manner as the Bank may reasonably require, including by statutory declaration.</p> <p>(4) The Bank may take copies of, or extracts from, any records or other documents provided in compliance with a requirement under subsection (1).</p> <p>(5) This section does not limit any other power of the Bank to require the provision of information or records or the preparation and provision of documents.</p> <p><b>Form of information pursuant to Section 23 of the Act</b></p> <p>23.— (1) The Bank may require that information, records or other documents provided in response to a requirement under section 22 (1) be provided in such form and manner as the Bank may reasonably require.</p> <p>(2) A person who fails to provide any information, records or other documents in the form reasonably required by the Bank shall be treated as not having provided it or them in compliance with the requirement.</p>
<p><b><u>Deadline for submission of Annual Return</u></b></p>	<p>The Annual Return must be submitted within six months of the firm's financial year end</p>